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Review Article

Ventilation Systems and COVID-19 Spread: Evidence from a Systematic Review Study

Abdolmajid Fadaei 1*

¹Shahrekord University of Medical Sciences, IRAN ***Corresponding Author:** ali2fadae@yahoo.com

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ARTICLE INFO	ABSTRACT
Received: 8 Jan. 2021	The main transmission mechanism of COVID-19 is through close contact, aerosols, droplets, and fomites
Accepted: 2 Mar. 2021	transmission of the disease in closed spaces. In this study, the importance of ventilation in preventing the expansion of COVID-19 is reviewed. In total, 20 articles are reviewed. The obtained results show that ventilation has a crucial role in preventing COVID-19 expansion in indoor air environments. However, it should be used properly; otherwise, it will cause further expansion of the disease, as suggested for SARS. Appropriate ventilation can effectively prevent the expansion of COVID-19, and it will be more effective if be combined with particle filtration and air disinfection. The benefit of ventilation in highly polluted outdoor air conditions is an important field to be investigated in future studies. Finally, the results show that the six basic COVID-19 control strategies include: hand hygiene, social distancing, screening and case finding, isolation and separating, decontamination and disinfection, and effective ventilation. We hope that the results of the present study help researchers and health decision-makers in designing programs further perceive the importance of factors related to indoor conditions to control COVID-19 expansion.
	Keywords: SARS-Cov-2, COVID-19, ventilation, HVAC system

INTRODUCTION

Pathogens and other respiratory viruses like e.g., coronaviruses, influenza, respiratory syncytial virus, and tuberculosis transmit through the air (Kramer et al., 2006; Sjödin et al., 2020; Tang, 2009). SARS-CoV-2 is a common disease between humans and animals that has turned into a pandemic (Sjödin et al., 2020). According to the literature, close contact is the main transmission route of COVID-19 (mainly respiratory droplets of >5–10) (Anfinrud et al., 2020). However, still there are controversies concerning the role of droplet nuclei ("aerosolized particles of <5 µm in diameter") when the distance is long (Anfinrud et al., 2020; Bourouiba, 2020). The importance of this transmission method is higher in closed environments in which the majority of individuals spend most of their time (90%). Several studies mentioned the crucial importance of ventilation in preventing diseases that can be transmitted through the air (Qian and Zheng, 2018). Ventilation is also important for health care settings, where droplets contain pathogens (Jayaweera et al., 2020). There are several studies on ventilation and prevention of COVID-19 infection, including heating, ventilation, and air conditioning (HVAC) systems and bad use of ventilation systems (Correia et al., 2020b; Lu et al., 2020; Mousavi et al., 2020; Somsen et al., 2020a).

Using Wells–Riley equation, the importance of ventilation can be expressed as follows:

$$P = \frac{C}{S} = 1 - exp\left(-\frac{Iqpt}{Q}\right) \tag{1}$$

where P: The cross infection risk, C: number of cases to get infection, S: number of subjects that are likely to become sick, I: number of cases that cause infection (infectors), p: pulmonary ventilation rate of each susceptible subject (m^3/h) , *Q*: hourly rate of room ventilation (m3/h), q: rate of average quantum generation produced by one infector (quanta/h) in which a quantum of infection refers to the dose that will on average infect 63% of the exposed, and t : exposure duration (h). However, the risk of infection and optimal ventilation rate cannot be accurately predicted unless the relationship between exposure to infectious viral aerosols and risk of infection (i.e., viral particles per infectious quantum) for the intended disease is known (Zhu et al., 2020). For example, the prevalence of COVID-19 in a restaurant without windows is reported to be related to the improper use of air conditioning (Lu et al., 2020). Additionally, COVID-19 can be transferred through air in other environments.

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Therefore, in the current review study, we have summarized the findings of articles that investigated the association between climate factors (i.e., temperature, relative humidity (RH), ventilation rate, air filter, and differential pressure) and ventilation in order to describe the role of airconditioning systems in preventing the expansion of COVID-19. According to what was mentioned above, evaluating the influence of air-conditioning is important to make appropriate decisions. Hence, the current rapid review study aimed to examine the influence of air-conditioning systems in preventing COVID-19 in indoor environments.

Methods

In the present study, a multidisciplinary reviewing framework was used to search the quantitative and qualitative articles on indoor ventilation factors. To achieve this goal, we systematically searched PubMed [Medline], JSTOR, Science Direct, Scopus bibliographic, and Google Scholar databases, as well as the Texas A&M University Library databases using various combinations of the following 32 keywords: "airconditioning" OR "HVAC", "ventilation settings, AND COVID-19," "mechanical ventilation system AND indoor pollution," "indoor air quality AND COVID-19," "airflow AND patient," "mechanical ventilation AND infection," and "physical environment AND transmission of COVID-19". Articles on hospital facilities and factors related to the HVAC systems were reviewed. Moreover, references of the identified articles were also reviewed to increase the comprehensiveness of the search. After all titles/abstracts were independently reviewed to determine the potentially related articles, an author selected studies to be fully reviewed.

The identified articles were found eligible after evaluating against inclusion criteria, objectives, and recommended indicators. The inclusion criteria were: having access to the original article, being published in English language, and

Table 1. Most important specifications of reviewed articles

investigating the correlation between HVAC systems and COVID-19 spread. The exclusion criteria were: lack of access to the full-text article, review studies, book reviews, guidelines, protocols, book chapters, letters-to-editors, articles submitted to conferences, theses, white papers, etc. Information on the authors' name, implementation region, objectives, study design, main variables parameters (e.g., ambient wind, air recirculation, ventilation rate, aerosol, RH, and temperature), and outcomes were collected. The aforementioned criteria were chosen because the final size of exhalation droplets is dependent on several factors, such as the initial size, RH, temperature, ventilation flow, sunlight, and the droplet's residence time. Additionally, it is believed that an increase in the rate of ventilation causes a reduction in the cross infection of airborne transferred diseases through the removal or dilution of pathogen-laden airborne droplet nuclei. A higher rate of ventilation leads to the dilution of the contaminated air within the space more quickly and causes a decrease in the cross infection risk.

FINDINGS

The main results are provided in **Table 1**. Of all identified studies, 10 were experimental research on HVAC systems and COVID spread (Chen and Zhao, 2020; Chirico et al., 2020; Coccia, 2020; Correia et al., 2020b; Guo et al., 2020; Haque and Rahman, 2020; Mousavi and Grosskopf, 2015; Qian and Zheng, 2018; Rosario et al., 2020; Shajahan et al., 2019). These articles were from China (2), the USA (1), Japan (1), Italy (2), Bangladesh (1), Portugal (1), Indonesia (1), and Brazil (1). Of the 20 articles, 50% (10), 30% (6), and 20% (4) were based on the experimental, observational, and simulation methods, respectively (**Figure 1**). In the next section, we discuss the most important findings. Thirteen studies concerned COVID-

ID	Objective(s)	Design	Environmental Parameter	Main results	Suggestions
(Sun and Zhai, 2020)	Application of the validated model for transportation vehicles and closed spaces	Simulation	Social distancing and air distribution systems	Emphasis was put on social distancing and ventilation to control COVID-19 pandemic in closed spaces.	-Prediction model for airborne viruses in closed spaces
(Feng et al. 2020)	, Assessing the effect of wind and RH on prevention of COVID-19	Simulation	Ambient wind and RH	A safe social distance is greatly dependent on wearing a mask, wind, RH	-Wearing a mask, social distancing, and ventilation
(Guo et al., 2020)	Investigating the expansion mechanisms of SARS-CoV-2 and HVAC	Observational	HVAC	Ventilation is vital to prevent SARS-CoV-2	- The HVAC system could effectively reduce SARS-CoV-2 spread
(Morawska et al., 2020)	How to protect the heath staff, patients, and the public against COVID-19	Observational	Engineering controls (air recirculation, Air cleaning and disinfection, and Ventilation rate)	Application of engineering controls in public buildings, with the influence of other preventive interventions for preventing COVID-19 spread.	/
(Spena et al., 2020)	Studying the relationship between air ventilation and COVID-19	Observational	Temperature, RH	Temperature or humidity were not associated with the viability of the virus solely	-Humidity and temperature and an appropriate HVAC plant are suggested
(Shajahan et al., 2019) Japan	Focusing on indoor related , parameters (HVAC systems).	Observational	Indoor air temperature, RH, and ventilation	Temperature, humidity, and ventilation system in hospitals influence infectious agents	-Future interdisciplinary collaborative research is necessary to find the ideal status of HVAC factors
(Qian and Zheng, 2018), China	Estimating the risk of airborne transmission and key components of ventilation (rate, flow direction, and pattern)	Observational	Key components of ventilation (rate, flow direction, and pattern)	Ventilation is effective for controlling the spread of the disease, but it should be used appropriately	-Updating knowledge about disease transmission through the air and improving the efficacy of ventilation

ID	Objective(s)	Design	Environmental Parameter	Main results	Suggestions
(Mousavi and Grosskopf, 2015), USA	Pathogen removal using ACH	Experimental		Increased ventilation not only is not associated with more effective pathogen removal but also may cause negative consequences	-Negative pressurization recommended by healthcare ventilation standards
(Azuma et al., 2020)	Assessing the environmental factors related to COVID-19 spread	Observational	Environmental factors in buildings	Most COVID-19 cases have been infected because of close contact in closed environments, particularly inside a building	-Ventilation is of crucial importance to mitigate COVID- 19 spread. Usual conditioning systems are not sufficient. Hence, mechanical ventilation and opening windows are of crucial importance
(Sarkodie and Owusu, 2020)	Investigating the effect of climate factors (e.g., temperature, wind speed, so on) COVID-19 spread	Experimental	Temperature, wind speed, RH	low temperature and RH increase the survival and spread of COVID-19	-Effect of variables such as health status and preventive interventions (inter alia, social- distancing, circulation of personal, protective equipment, and restrictive testing) must be evaluated in various countries
(Coccia, 2020), Italy	Studying the association between wind speed, air pollution, and COVID-19 expansion	Experimental	Atmospheric stability, air pollution, and the pandemic of COVID-19	Geo-environmental parameters may increase the expansion of COVID-19	-A broad plan should be designed to prevent similar future epidemics
(Haque and Rahman, 2020), Bangladesh	Investigating the relation among temperature, humidity, and COVID-19	Observational	Temperature, RH	Linear regression is used. The results indicated that high temperature and RH were correlated with the declined spread of COVID-19	-High temperature and RH reduce COVID-19 spread
(Chirico et al., 2020), Italy	Evaluating the relationship between SARS-CoV-2 spread and ventilation systems	Observational	Heating, ventilation, and Air Conditioning	Sufficient evidence are not available	-Hence, safety consultant should take caution furthermore, more research is needed to assess the effect of ventilation, and the risk of COVID-19 transmission
	Investigating the spread of COVID- 19 airborne in various environmental settings	Observational	Droplets and aerosols	Both droplets and aerosols have an important role in COVID-19 transmission	-Further studies are needed to investigate the impact of virus- laden droplets and aerosols in various conditions, particularly indoor spaces
	Evaluating the transmission route of COVID-19	Experimental	Ventilation and aerosol	HVAC is an important tool to prevent infection	-Using personal protective equipment as well as structural changes to hospital and other facilities with HVAC systems.
(Tosepu et al., 2020), Indonesia	Studying the association between weather and SARS-CoV-2	Experimental	The least and the highest temperature, RH, and precipitation	with COVID-19	-Climate factors are important ir mitigating COVID-19
(Chen and Zhao, 2020), China	Assessing the effectiveness of ventilation		Inappropriate ventilation (approximately 150 m ³ per hour per person)	hospitals in Hubei Province, China	
(Rosario et al., 2020), Brazil	Studying the association between SARS-CoV-2 and climate	-	Temperature, RH, and UV-B radiation	Increased spread of SARS- CoV-2	-Climate factors are important for preventing COVID-19 spread
et al., 2020)	s Investigating the ultraviolet radiation relationship with Covid- 19		Sunlight and UV radiation	Decay the viability of COVID-19	-There is an association between UV and SARS-CoV-2 spread in altitudes and not latitudes
(Bhagat et al., 2020)	Studying the effect of building ventilation on the possible pathways of airborne particles and examining the fluid mechanics	Simulation	ventilation states and amount of bio-aerosol	Flows related to dispersion of aerosols indoors	-It is of importance to understand airflows for estimation of the risk of contracting COVID-19

 Table 1 (continued). Most important specifications of reviewed articles

19 and four concerned SARS-CoV-2. According to this study, about 70% of reported research studies were associated with indoor settings (hospital, health care center, and restaurant) and about 30% of research studies with outdoor environment.

examining the fluid mechanics

DISCUSSION

In this research, a comprehensive literature review was conducted concerning the relationship between HVAC systems and SARS-CoV-2 spread. In total 20 articles were found to be eligible for a full evaluation. Ten articles were observational and had investigated the association between

contracting COVID-19

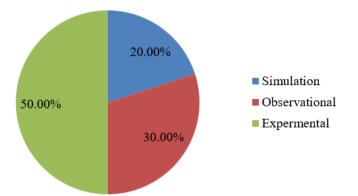


Figure 1. Specification type of design articles

HVAC systems (i.e., effectiveness and key elements) and COVID-19 spread.

Eight of the 20 included studies on poor ventilation, effective ventilation, and key elements of ventilation to prevent COVID-19. There are many studies about temperature and humidity, reporting that humidity should range from 40 to 60% and temperature should be 21-23°C (Guo et al., 2020). However, low RH (<20%) is known to increase an individual's susceptibility to infection (Dietz et al., 2019). Another study suggested that, in hospitals, RH should be 30% to 60% (Balaras et al., 2007). In a study, coronavirus was reported to survive longer in conditions with lower RH (< 50%) and to be eliminated at RH >80% due to having a lipid envelope (Correia et al., 2020a). Additionally, it was found that as time passes, the low humidity in combination with high temperature might result in an infectious dose (Somsen et al., 2020b). Overall, results of the studies showed that the optimal humidity is associated with temperature, space, and type of microorganisms.

Considering the hospital buildings, various studies suggested separate thermal zones to meet the needs of patients: Operating room 24-26°C (74.2-78.8°F), labor room ≥26°C (78.8°F), infants department (around 28°C (82.4°F)), patients' room (21-24°C (70-75°F)), and bronchoscopy (20-23°C (68-73°F)) (Knobel and Holditch-Davis, 2007; Lyon and Freer, 2011). Initially COVID-19 pandemic was more severe in countries with lower temperatures (Hassan et al., 2020). One study reported a considerable negative association between temperature and COVID-19 attack rate (Hassan et al., 2020). Another research reported a negative association between temperature and RH and COVID-19 mortality (Ma et al., 2020). However, there are studies that reported no association between COVID-19 spread and temperature (Xie and Zhu, 2020; Yao et al. 2020). Another research found a positive association between COVID-19 cases and a threshold of 3°C (Xie and Zhu, 2020). The results from one study indicated that there is not strong evidence suggesting the spread of SARS-CoV-2 via aerosol transfer through HVAC systems (Belingheri et al., 2020). Moreover, modeling research on the viral particles scattering for COVID-19, which in the first SARS pandemic strongly confirmed the likelihood of SARS-CoV-1 aerosols capturing and re-diffusing by HVAC, is not yet available (Chirico et al., 2020). Three of the twenty included studies examined two factors basic strategy of control COVID-19 include: effective ventilation, and social distancing, Sun and Zhai reported that social distancing and ventilation effectiveness had a great positive impact on decreasing the infection risk COVID-19 (Sun and Zhai, 2020). Of the 20 studies, three investigated two basic strategies to control COVID-19, including effective ventilation, and social distancing (Sun and Zhai, 2020). One study conducted on the effect of hydrological parameters (river length, lake area, rain, and water resources) on the SARS-CoV-2 pandemic reported that hydrological parameters play an important role in SARS-CoV-2 spread (Wang et al., 2020). Jayaweera et al. reported that ventilation may cause transmission of the virus to taxi passengers (Jayaweera et al., 2020). Nine of the 20 included studies investigating the influence of environmental factors, such as ultraviolet radiation, wind speed, surface pressure, temperature, and RH on prevention of SARS-CoV-2 (Feng et al., 2020; Haque and Rahman, 2020; Karapiperis et al., 2020; Rosario et al., 2020; Sarkodie and Owusu, 2020; Spena et al., 2020; Tosepu et al., 2020; Xie and Zhu, 2020; Yao et al., 2020). They reported a negative correlation between temperature and RH and COVID-19 spread. Poole found a positive correlation between temperature and climate latitude and COVID-19 (Poole, 2020). Three of the 20 included articles reported an association between air conditioning and COVID-19 transmission (Coccia, 2020; Correia et al., 2020b; Tosepu et al., 2020). These studies reported that low wind speed declines the expansion of gaseous and particulate matters, which in turn reduces the COVID-19 spread. Results from this study revealed the crucial role of ventilation systems in preventing the infection. Ventilation is a primary strategy used in hospitals and other facilities to control infectious diseases (Correia et al., 2020a). According to Chirico et al., ventilation may lead to the infection spread (Chirico et al., 2020). Ventilation systems are capable of acting as a route to transfer infectious diseases such as SARS, measles, tuberculosis, chickenpox, influenza, rhinovirus, and smallpox (Shiu et al., 2019). As the most important climate factor, solar radiation was significantly correlated with the COVID-19 prevalence (Rosario et al., 2020). According to Dabisch et al., temperature, simulated sunlight, and humidity are among significant factors affecting the infectious SARS-CoV-2 durability in aerosols (Dabisch et al., 2020). Additionally, in enclosed spaces, low humidity, air conditioning, and low UV light may cause viral aerosol to survive longer (Bulfone et al., 2020). Results from this study indicated that the main transmission route for COVID-19 was person to person contact (Zhang et al., 2020).

However, the results reported by the mentioned studies are inconsistent, and conducting a comparative study is thus difficult. To decline exposure to SARS-CoV-2, the following interventions are suggested to improve indoor air environments and prevent COVID-19 infection:

- 1- Using healthcare facilities to reduce COVID-19 spread, including environmental engineering controls like e.g., negative pressure rooms, dilution ventilation, highefficiency particulate air filtration, ultraviolet germicidal irradiation (UVGI), and scavenging equipment;
- 2- Applying a broad plan to prevent similar future epidemics by an emphasis on climate factors;
- 3- Considering traditional infection control hierarchy, including elimination (to physically remove the

pathogen), engineering controls (to separate people and pathogen), administrative controls (to instruct people what to do), and personal protective equipment (to use masks, gowns, gloves, and other smart PPE), respectively (Gordon et al., 2020).

- 4- Applying countermeasures using HVAC systems, including interventions related to air-cleaning and controlling temperature and humidity.
- 5- Applying key strategies to improve indoor air quality (IAQ) against airborne infection, including adequate ventilation and temperature control.
- 6- Conducting further studies to find the optimum ranges for indoor-related conditions, particularly in health care settings.
- 7- Mitigating the virulence of SARS-CoV-2 for closed spaces by air changes and HVAC systems.
- 8- Researchers, decision-makers, policymakers, and architects should perceive the importance of indoor environmental factors. Besides, special standards should be designed for HVAC systems.
- 9- A brief report on the impact of climate factors and COVID-19 spread would be useful.

CONCLUSIONS

There are different points of view about the influence of the ventilation systems on preventing SARS-CoV-2 spread. Evidence is not sufficient to make a definitive conclusion regarding the correlation between ventilation systems and SARS-CoV-2 spread. The national and international agencies publish guidelines for prevention of SARS-CoV-2 transmission in closed spaces based on the conducted research studies. In the present study, 20 articles were fully reviewed. The research studies' main variables, parameters (e.g., ambient wind, air recirculation, rate of ventilation, aerosols, RH, and temperature), and results were gathered. This review revealed the significant role of ventilation in mitigating COVID-19 spread in indoor air environments. The obtained results also indicated that enhancing the ventilation effectiveness may play a key role in limiting the SARS-CoV-2 virus transmission. Accordingly, some interventions should be designed to prevent disease expansion, such as separating people and pathogen, instructing people what to do, using personal protective equipment, providing better ventilation in health care settings, particularly in hospitals and populated areas, observing social distancing, setting the minimum standard of heating, ventilation, air conditioning (HVAC) systems, etc. Finally, six factors of the basic strategy to control COVID-19 include: hand hygiene, social distancing, screening and case finding, isolation and separating, decontamination and disinfection, and effective ventilation.

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- **Declaration of interest:** The author declares no competing interests.
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Availability of data and materials: All data generated or analyzed during this study are available for sharing when appropriate request is directed to the author.

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